

[This is the second draft of this Appendix begun on 25 August 2008]

## Appendix R: Human Biosonar Capability

James T. Fulton  
jtfulton@cox.net

1 This Appendix is a work in progress. It is designed to evaluate the potential for human echolocation by comparing  
2 the human physiology and linguistics with that of the highly optimized system of the Bottlenose Dolphin and other  
3 members of the Cetacea family of whales and dolphins. It is also designed to suggest some scenarios that might be  
4 useful in training humans, particularly those with vision impediments, to use echolocation more effectively.

### 5 R.1 Background

6 It was recently brought to my attention, through the television program focused on a totally blind young man who  
7 was able to ride a bicycle and traverse familiar territory with extraordinary skill based on the use of his acute hearing  
8 and augmented by vocalizations designed to provide echolocation information.

9 I was simultaneously working on the echolocation and possible linguistic skills of the Bottlenose Dolphin. It  
10 appears it is possible to develop the skills illustrated by Ben Underwood even more fully. This would involve  
11 starting with blind children at the earliest practical age. This in turn would involve developing a training program  
12 designed to familiarize them with the potential of echolocation as soon as possible so that the plasticity of their brain  
13 can be employed to expand their intrinsic capability.

#### 14 R.1.1 Sources

15 This discussion will draw heavily on a sister Appendix L, “Dolphin Biosonar Echolocation: A Case Study” available  
16 at [www.hearingresearch.net/pdf/Dolphin\\_biosonar\\_echolocation.pdf](http://www.hearingresearch.net/pdf/Dolphin_biosonar_echolocation.pdf). The amount of data available from dolphins is  
17 limited and the data must frequently be interpreted in order to utilize it. Dolphins are very intelligent animals. When  
18 investigators design straightforward experiments to obtain pertinent information, the dolphins invariably respond by  
19 adopting more sophisticated strategies and sound sequences that the investigator expected.

20 Wikipedia provides a wealth of information on sounds associated with the human voice. The material on clicks is  
21 particularly useful, [http://en.wikipedia.org/wiki/Click\\_consonant](http://en.wikipedia.org/wiki/Click_consonant). A link at the end of that page is also very useful,  
22 [Collection of click-language links and audio samples](#). Excerpts from this material are provided in Section R.9 of  
23 this document.

24 Blesser & Salter provided a useful reference relating to the potential of environment perception using human  
25 auditory facilities<sup>1</sup>. Along with many useful and specific definitions in the introduction, it explores many aspects of  
26 auditory spatial awareness in Chapter 2. They note “the ability to create an internal picture of external objects and  
27 geometry is greatly enhanced when strong motivation, greater than average skills, and extended opportunity to  
28 practice are present (page 40).” Their discussion of echoes versus background sound as scene coloration may need  
29 more discussion, especially their quoting a minimum 10 meter range criteria for an echo on page 42 but noting the  
30 ability of blind individuals to identify shapes at distances as small as two feet (presumably using active echolocation)  
31 on page 44. They suggest a typical aural space is characterized by its aural embellishments (page 52). They also  
32 engage in a valuable discussion of the analogy between visual and aural reflections (page 55).

33 After noting that echolocation has come to mean both passive and active spatial awareness in the vernacular, Blesser  
34 & Salter focus primarily on aural “imaging” of the nearby environment based on ambient background sound rather  
35 than active echolocation. They focus on separating the acoustically reflective characteristics of materials from the  
36 intrinsic sound falling on such materials, much like the reflective optical characteristics of material illuminated by a  
37 light source. Thus, their work pertains primarily to materials and shapes whether actively or passively illuminated  
38 acoustically. They associate aural perceptions as involving coloration if due to distributed quasi-continuous acoustic  
39 sources and as echos if due to impulse-like sources. They discuss cognitive maps based on acoustic reflections in  
40 the same context as those based on light reflections. They also develop the subtle differences between visual and  
41 acoustic reflections from the experiential perspective (pg 55). In their broad use of the term echolocation, they note,  
42 “sensory skills are acquired, rather than innate; they are based on personal utility and lifestyle.”

---

<sup>1</sup>Blesser, B. & Salter, L-R. (2007) Spaces Speak, Are You Listening? Cambridge, MA: MIT Press

## 2 Processes in Animal Vision

43 This analysis is focused primarily on active echolocation but can be extended or merged with other passive target  
44 location techniques.

### 45 R.1.2 Potential number of blind able to use echolocation

46 Specifically who are we trying to help out of the total pool of “blind people,” Kish has provided some estimates of  
47 the blind population in the USA. I have re-tabulated his estimates and added additional numerics. .

48 1% of total population –those considered legally blind. 3 million of 300 million.

49 60% of legally blind are over the working age of 65.

50 5-10% of legally blind are under the working age. 150,000-300,000

51 ~30% of legally blind of working age.

52

53 10-20% of legally blind are functionally blind. 300,000-600,000

54

55 4% of legally blind have been significantly blind since birth. 120,000

56 10% of the above 4% being functionally blind since birth. 12,000

57 60% of those significantly blind since birth have other neurological problems. 72,000

58 40% of those significantly blind since birth can be considered “vanilla blind,” no other major neurological problems.  
59 48,000

60 These numbers suggest there are about 1,000 babies a year born functionally blind and without other significant  
61 neurological limitations. They are presumably alert, mobile and potentially motivated. Are these the subjects we are  
62 trying to help? Is the goal to help those in a larger pool as well?

63 It is reasonable to assume those with complex neurological problems centered on the sensory modalities suffer from  
64 disease of the diencephalon of the midbrain rather than the cerebral cortex.

### 65 R.1.3 Glossary

66 Blesser & Salter have provided a number of very clear definitions related to this analysis. They begin with a useful  
67 delineation between the terms acoustic and aural.

68 **Acoustic**– the behavior of sound waves (vibrations) in solids, liquids and gases.

69 **Aural**– the experience of a sonic process.

70 **Hearing**– the detection of sound.

71 **Listening**– active attention or reaction to the meaning, emotions and symbolism contained within sound.

72 They used the above definitions to develop the following additional terminology.

73 **Aural architecture**– the properties of a space that can be experienced by listening.

74 **Aural embellishment**– An acoustic object or geometry that produces aesthetically recognizable acoustic attributes  
75 that add aural richness and texture to a space.

76 **Acoustic architecture**– A technologist who designs spaces to accommodate the requirements of aural architecture.

77 **Spatial acoustics**– the way that a space changes the physical properties of sound waves.

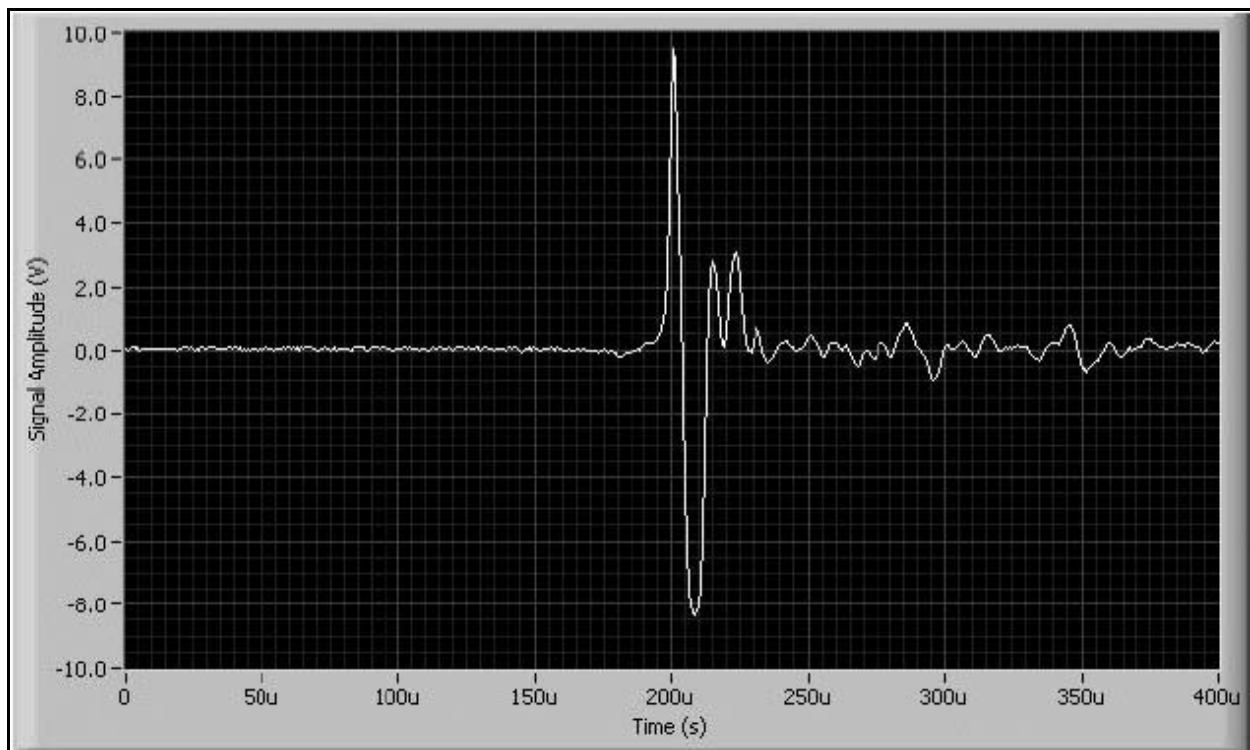
78 **Cultural acoustics**– the way that listeners experience sound within a space.

79 R.2 Overview of echolocation in the Bottlenose Dolphin

80 R.2.1 Geometry of dolphin echolocation

81 R.2.2 Waveforms of dolphin echolocation

82 Lemerande has provided an excellent set of data for the bottlenose dolphin<sup>2</sup>. **Figure R.2.2-1** provides a recording of  
 83 a single click from this dolphin. It is nearly ideal, showing one complete sine wave of high intensity followed by an  
 84 oscillation consisting of two smaller positive going peaks.



**Figure R.2.2-1** Nearly ideal click waveform from the bottlenose dolphin. From Lemerande, 2002

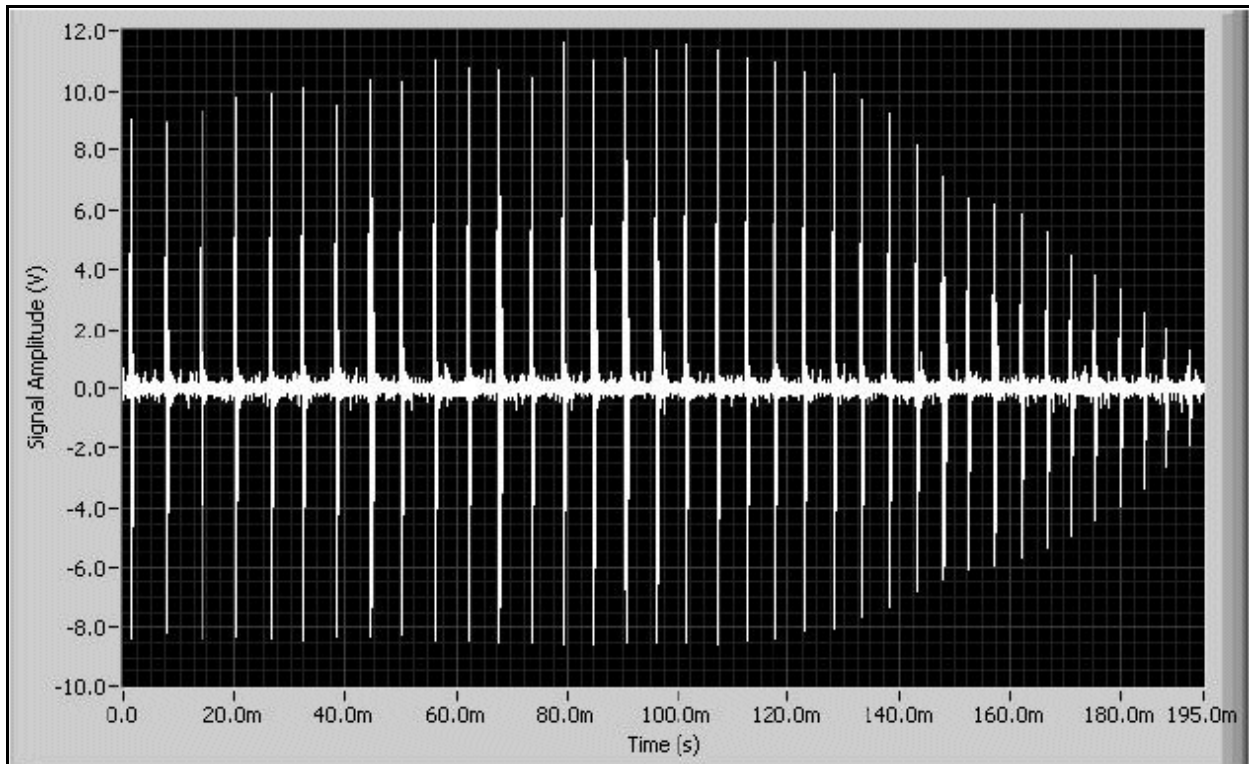
85 The click waveform displayed above is usually found in a train of pulses as displayed in **Figure R.2.2-2**. The click

---

<sup>2</sup>Lemerande, T. (2002) Transmitting beam patterns of the Atlantic Bottlenose Dolphin (*tursiops truncatus*): Investigations in the existence and use of High frequency components found in Echolocation signals. Naval Postgraduate School, Monterey, CA 93943-5000

## 4 Processes in Animal Vision

86 train consists of an equally spaced set of clicks of the type shown above. The frequency of these click trains can  
87 vary from 75 to 250 clicks per second. This suggests the clicks are generated by a mechanism not greatly different  
88 from the vocal chords of humans which have a similar fundamental frequency range. The highest amplitude  
89 component is the initial click. It is followed by the lower amplitude trailing waveform. Note in this sequence, the  
90 animal reduces the amplitude of its clicks as it converges on the target on the right. Some variation in signal  
91 intensity is present as the animal nutates its head back and forth during the closing pursuit. As noted elsewhere, it is  
92 also possible the animal is able to steer its forward pointing high frequency acoustic beam over small angles by  
93 changing the shape of the melon in its forehead (which acts as an acoustic lens).



**Figure R.2.2-2** Click train of bottlenose dolphin. From Lemerande, 2002.

94 R.2.3 Resolution capability of the dolphin

95 Extensive experimentation has been undertaken to determine the range resolution of the bottlenose dolphin. It  
 96 appears the animal can differentiate reliably between the presence or absence of adjacent aluminum disks on the  
 97 order of a few centimeters in diameter at 100 meters in water. It appears they have a similar range resolution but this  
 98 value is controversial. Au has suggested the range resolution for static targets is on the order of 1.0-1.1 cm.  
 99 Similarly, it appears from calculations that they can employ the Doppler Principle to determine changes in range  
 100 velocity on the order of 1.5 miles/hour. Their ability to track targets at lesser ranges is known to extend down to  
 101 distances only slightly beyond the end of their rostrum (mouth).

102 **R.2.4 XXX**

## 6 Processes in Animal Vision

103 **R.3 XXX**

104

105 **R.4 A comparison of the dolphin and human echolocation capabilities**

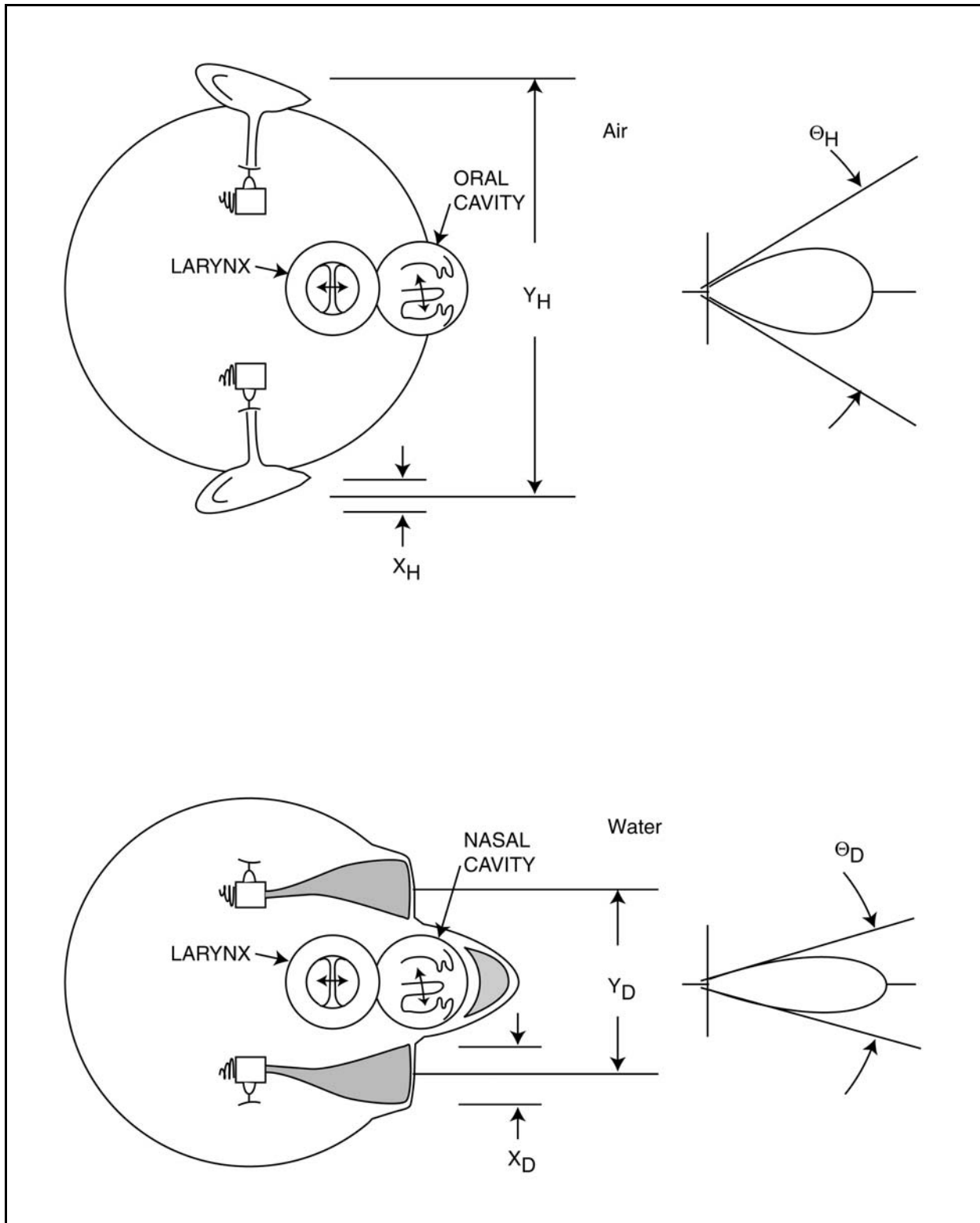
106 **Figure R.4.1-1** provides a simple comparison of the echolocation potentials of the Human and the Bottlenose  
107 Dolphin. There are two major differences that are difficult to illustrate. The velocity of sound in water is about 5  
108 times higher than in air. The maximum usable frequency the ears can sense for echolocation in dolphins is believed  
109 to be about 150 kHz whereas in humans it is much lower, probably on the order of 15 kHz. The vocal capability of  
110 the dolphin is compatible with the 150 kHz value while that of the human is concentrated at a lower frequency,  
111 probably in the 3-5 kHz region. The forward pointing high frequency beam of the dolphin has been measured at  
112 about xxx degrees full angle. The equivalent beam for the human is highly dependent on the shape of the mouth  
113 and lips but is always broader than that of the dolphin at high frequencies. The larger aperture and the front facing  
114 direction of the dolphins ears provide it with a much higher sensitivity based on geometry alone. The spacing of the  
115 two ears appears to be similar in the two species.

116 The bottlenose dolphin generates a high frequency beam that is ten degrees wide at the 3 dB points and 120 kHz.  
117 Each ear has a receiving pattern that is nominally  $\pm 26$  degrees at its first null. The ears are independently pointable  
118 by changing the contour of their outer surface. However, when presumably pointed forward, they have been  
119 measured to have a divergence of 26 degrees between their axes.

120 The vocal capabilities of the two species are similar at the simple box level of illustration but their implementations  
121 are quite different. Both employ a larynx to produce tones and very complex variants of tones and tone  
122 combinations. In the human, this capability is augmented by the great flexibility provided by the flexible oral cavity  
123 and its elements, including the highly maneuverable tongue. The dolphin may use the nasal cavity in a manner  
124 similar to the human oral cavity but it appears less flexible at low frequencies based on spectrographic data. The  
125 dolphin employs a set of structures in its nasal cavity to generate high frequency sounds similar in concept to the  
126 way the human can generate staccato sounds (clicks) using its tongue and palette. However, the dolphin does it  
127 more efficiently and at greater effective sound intensities.

128 It is worth noting that the dolphin does not share a common breathing and feeding path like the human does. No  
129 sound issues from the dolphins mouth. It is either radiated out through the melon of its forehead at high frequencies  
130 or is conducted in the airstream issuing from its blowhole at low frequencies. The dolphins at the aquarium are  
131 trained to open their mouths when communicating with humans vocally because that is what the human expects to  
132 see.

133 The connection between the outer ears of the dolphin and human are quite different. The energy from the tympanic  
134 membrane at the end of the outer ear canal connects to the vestibule of the labyrinth via the oval window (and  
135 stapes). In the dolphin, the energy from the outer ear is applied to a different surface of the vestibule of the  
136 labyrinth. The oval window (and stapes) have atrophied after the animal returned to the sea. The apertures on the  
137 side of the dolphins head are no longer used as ears but may be used as a depth gauge or velocity measuring device  
138 under water.



**Figure R.4.1-1** A comparison of the human and dolphin echolocation apparatus. The width between the ears is similar,  $Y_D \approx X_D \approx 8$  inches. However, the forward projection of the dolphin ear is about 3 inches in diameter while the front projection of the human ear is on the order of 1 inch in diameter. The total angular width of the high frequency beam formed by the dolphin is about 10 degrees at the 3 dB point (& 120 kHz) while that of the human is broader and variable.

## 8 Processes in Animal Vision

### 139 **R.4.1 Reported passive discrimination capabilities of humans**

140 Blesser & Salter have given a series of values for the reporting of object size versus distance (page 44) in what was  
141 apparently natural environments without any active sound from the subject.

142 “Rice (1967) showed that listeners can detect a difference of 1 centimeter in a 9-centimeter disk at a distance  
143 of 60 centimeters (2 feet). Kellogg (1962) showed an even higher level of discrimination: listeners detected  
144 an area difference of 5 square centimeters on a square of 60 square centimeters at a distance of 2 meters (7  
145 feet). One blind subject could reliably detect a 1-inch disk located at a distance of three feet (Rice, 1969-70).  
146 Even more remarkably, Hausfeld et al. (1982) demonstrated that listeners could distinguish square, circular,  
147 and triangular objects. One blind subject was able to recognize a stop sign by its hexagonal shape. Kellogg  
148 (1962) found that on the most difficult discriminations tasks blind individuals performed significantly better  
149 than sighted subjects who were blindfolded.”

### 150 **R.5 Operation of the dolphin echolocation system**

151 It is generally believed among the dolphin research community that the dolphin, and particularly the bottlenose  
152 dolphin, “sees” an image of the field illuminated by its high frequency vocal system. The size of the field  
153 illuminated by this dolphin vocal system is quite similar in angular extent to that of the foveal portion of the human  
154 visual system (the central 1.2 degrees of the visual field). It is also sensed by a binaural hearing system in analogy  
155 with the stereographic capability of the visual system of both the human and the dolphin.

156 The dolphin is able to determine the velocity of the objects in its high frequency field of view with a minimum value  
157 on the order of two kilometers/hr (1.5 miles/hour).

158 What the dolphin actually sees in its high frequency auditory world has not been demonstrated. However, for a  
159 static scene, the data suggests it sees a nominally black and white 3-D image of a field of about xxx elements in both  
160 horizontal and vertical dimensions. Axial motion within that scene above the minimum threshold are seen as either  
161 positive or negative values that may be analogous to color in the human visual system. Under this analogy, a school  
162 of fish swirling about a vertical axis would be images as a three-dimensional cluster with the side swirling toward  
163 the dolphin appearing blue and the side swirling away from the dolphin appearing red.

164 The information sensed by the high frequency auditory system of the dolphin is presented to the auditory  
165 perigeniculate nucleus (a portion of the diencephalon or midbrain) where it is assembled into an image using a  
166 multidimensional Cartesian array of neurons. This acoustic array is similar to that used in the visual system of both  
167 dolphins and many other mammals. In the human, the visual system delivers information from the fovea to the  
168 visual perigeniculate nucleus where it is processed in a similar multidimensional Cartesian array of neurons. The  
169 visual and auditory perigeniculate nuclei are adjacent to each other within the diencephalon. It is possible, but  
170 unverified, that these two perigeniculate nuclei share a common substrate or multidimensional array. The auditory  
171 perigeniculate nucleus is adjacent to the inferior colliculus. In the literature, these two elements are not  
172 differentiated and it is common to find references to the very large inferior colliculus in the dolphin family.

173 The reader will note, the information received from the fovea of the eyes is not delivered to the so-called “primary  
174 visual cortex.” While this title may refer to the first or initial portion of the visual cortex, V1, it does not properly  
175 describe the visual processing area of primary importance to the human, the visual perigeniculate nucleus.

176 The similarity between the auditory perigeniculate nucleus and the visual perigeniculate nucleus in the dolphin, and  
177 the similarity between these two components in the human brain suggest strongly two ideas.

178 First, that the dolphin does “see” in the high frequency portion of its auditory system.

179 Second, the human has a similar but less developed intrinsic capability. The auditory perigeniculate nucleus is much  
180 larger and more highly developed in the dolphin than is the equivalent structure in the human. But, this is not to say  
181 the human auditory perigeniculate nucleus cannot be developed more fully through use.

182 Furthermore, it is possible that through the plasticity of the brain a portion of the unused visual perigeniculate  
183 nucleus could be requisitioned by the auditory perigeniculate nucleus to enhance the overall capability of the child.



184 **It is the goal of this study to explore how the unused portion of the visual perigeniculate**  
 185 **nucleus can be requisitioned by, and used efficiently by, the auditory perigeniculate nucleus**  
 186 **in order to achieve a more capable object location capability in the blind child.**

## 187 **R.6 Operation of the human source location system**

188 The human sound-based source location system consists of a passive and an active component. The passive  
 189 capability will not be addressed in this document. The active component can rely upon an internal sound source, in  
 190 which it can be described as a monostatic system (source and receiver in the same enclosure), or an external sound  
 191 source, in which it can be described as a bistatic system (source physically separate from the receiver). In the  
 192 bistatic case, there are two options. The source can generate a signal at a random time unknown to the receiver in  
 193 advance, or it can generate a signal under the control of the overall system. A system generating a signal at a  
 194 random time will be called asynchronous. A system generating a signal at a known time will be called synchronous.

195 Dan Kish has defined what he calls a SoundFlash device. To the extent, the signal generated by this device is  
 196 controlled by the user, it will be considered a synchronous bistatic device. If it is controlled by an investigator  
 197 independent of the receiver, it will be considered an asynchronous bistatic device. A sound created by the user using  
 198 his vocal apparatus will be considered as coming from a monostatic system.

199 This investigator considers it preferable if the user employs his own vocal apparatus as a source, a  
 200 monostatic source, where possible. For young children this may not be possible initially. In that  
 201 case, it is considered preferable to use a bistatic source producing a signal comparable to that  
 202 eventually produced by the child.

203 It is probably obvious that the brain can easily calculate the time difference between a vocally generated sound and  
 204 its received reflection. This calculation is the primary calculation in monostatic echolocation. While it is quite  
 205 possible for the subject to perform a similar calculation based on a reflected signal received after a sample of the  
 206 original signal is received in a bistatic situation, it involves a more complex operational situation and a generally  
 207 less precise calculation.

208 *{The brain uses a variety of processing algorithms to determine the position of objects in its environment. Only the*  
 209 *relatively simple algorithms will be discussed here.}*

210 The brain uses the time delay between the initial signal generated and the received echo(s) from that signal to  
 211 compute the distance to the object(s). By comparing the time difference between the signals from the two ears, it is  
 212 able to determine the angular position of the object(s). The system will rely on the leading edge of the generated and  
 213 reflected signals if they are of adequate intensity. If not, it will attempt to integrate the energy in the source and  
 214 reflected signals in order to achieve the same result. Ideally, the generated signal would be a single pulse consisting  
 215 of a single sinusoid waveform at an optimum (generally high) frequency. Such a source signal allows the simplest  
 216 calculations relating to the location of the reflection(s) and some additional information relating to the acoustic  
 217 characteristics of the reflecting material(s). Frequently, it is necessary to transmit a signal consisting of more than  
 218 one sinusoid (a frequency burst) to obtain adequate power in the signal to achieve the desired maximum range. It is  
 219 still preferred to generate a signal at only one frequency if possible in order to achieve maximum range and range  
 220 rate (velocity) information concerning the reflected signal(s).

221 While a variety of information is available on the ability of the human vocal system to create clicks in the context of  
 222 speech and communications (Section R.9), the applicability of this data to echolocation is limited. It is proposed that  
 223 the ideal signal for human echolocation is an alveolar click generated where the tongue is quickly withdrawn quickly  
 224 from the front of the palette while the vocal cavity is blocked at the throat. This process can form an area of reduced  
 225 pressure within the oral cavity. This rarefaction of the air pressure is followed by a raising of the air pressure (a  
 226 compression). The result is a single sinusoid waveform followed by a series of artifacts. The shape of the mouth  
 227 and lips are critical in determining the shape and the maximum intensity of the final waveform projected forward of  
 228 the mouth. This is the area where more experiment is needed to optimize the choice of alveolar click.

### 229 **R.6.1 The capabilities of Ben Underwood**

230 A recent documentary highlighted the capabilities of Ben Underwood, a person in his high teens who has been  
 231 totally blind since the surgical removal of his eyes at an early age. Ben has developed a remarkable capability  
 232 involving both passive and active echolocation using both asynchronous bistatic and his own synchronous  
 233 monostatic sources.

234 Ben has developed his asynchronous bistatic capability to an exceptional degree, as have other sightless people. He

## 10 Processes in Animal Vision

235 perceives walls, and other terrain features quite effectively, based on the presence of other bistatic sources or even  
236 his own incidental sound sources (such as the sound from his shoes). He is also able to perceive some elements,  
237 such as doorways, based on essentially the ambient background noise reflecting off of the surface surrounding the  
238 doorway. It is inspiring to see Ben riding a bicycle by himself in a protected, but not empty, cul-de-sac near his  
239 home.

240 Watching and listening to Ben Underwood demonstrate his synchronous monostatic capability briefly in the TV  
241 documentary, it is difficult to determine whether he is using an alveolar click or a dental click (formed by  
242 withdrawing the tongue quickly from the back of the front teeth). It is also difficult to describe the shape of his  
243 mouth in detail based on the short video. Ben was obviously achieving a degree of echolocation at ranges as short as  
244 10 inches (25 cm). He appeared to be able to tell the difference in crude shapes at that range after a moment of  
245 concentration.

246 These capabilities were developed by Ben essentially on his own (with great support from his acoustically untrained  
247 Mother) beginning around age five.

248 **It is proposed, and appears obvious, that he, and similar subjects, could have developed an**  
249 **even higher capability if he had been provided more technical guidance during his earlier**  
250 **years.**

### 251 R.6.2 Background on the plasticity of the brain

252 An excellent overview of the plasticity of the brain was assembled for the lay press by Sharon Begley of the Wall  
253 Street Journal on Dec 2, 2005. It is reproduced in full at the end of this appendix.

254 Steven & Blakemore have provided a scientific paper providing more detail than Begley<sup>3</sup>. Their citations lead to the  
255 conclusion that the human brain remains more plastic than previously believed.

256 Thaler, Arnott & Goodale have recently provided an extensive paper on the fMRI results of experiments in  
257 echolocation involving an early blind participant (Daniel Kish), a late blind participant (Brian Bushway) and two  
258 normal sighted individuals<sup>4</sup>. The results clearly show that Daniel has taken advantage of the plasticity of his brain at  
259 an early age and that he is fully utilizing the right occipital lobe (area 17, or V1) to process acoustic signals. Brian  
260 was blinded at age 14 and does not show the same plasticity or utilization of either lobe of area 17.

### 261 R.7 Potential programs to improve blind children's capabilities

262 The human auditory system, in conjunction with its vocal system, is demonstrably capable of achieving a useful  
263 degree of active echolocation. It also appears the auditory system is capable of a poorly documented capability to  
264 perform passive object location, based on subtle changes in the ambient sound levels encountered near structures. It  
265 appears that these capabilities can be enhanced through training, and particularly training at an early age when the  
266 unique learning capabilities of the human appear to be available.

267 This investigator is not qualified to design the appropriate training protocols. However, he might be able to offer  
268 some suggestions that could be included in a properly designed program.

269 It has been suggested that a program similar to the "Lilli Nielsen boxes," crib-sized enclosures that bring a large  
270 variety of sensible objects to within reach of the infant while flat on its back.

271 Useful sites discussing these boxes include:

---

<sup>3</sup>Steven, M. & Blakemore, C. (2004) Cortical plasticity in the adult human brain *In Gazzaniga, M. ed. (2004) The Cognitive Neurosciences, 3<sup>rd</sup> Ed. Cambridge, MA: MIT Press Chap. 89*

<sup>4</sup>Thaler, L. Arnott, S. & Goodale, M. (2011) Neural correlates of Natural human echolocation in early and late blind echolocation experts *PLoS ONE* vol 6(5), pp e20162 (16 pages)

272 [http://en.wikipedia.org/wiki/Lilli\\_Nielsen](http://en.wikipedia.org/wiki/Lilli_Nielsen)

273 [www.lilliworks.com/aln-dec01.htm](http://www.lilliworks.com/aln-dec01.htm)

274 [www.wonderbaby.org/articles/play-area.html](http://www.wonderbaby.org/articles/play-area.html)

275 The following subsections will describe potential elements of several training protocols. It must be left to others to  
276 determine if these elements are useful. It must also be left to others to determine what children have sufficient  
277 capability to take advantage of this potential training.

### 278 **R.7.1 Optimum age for beginning echolocation activities**

279 This section needs development by someone more skilled in child development. But the comments in Carter's book  
280 on "Mapping the Mind" offers several pertinent thoughts<sup>5</sup>. She notes the following. "'Proper' language starts in the  
281 second year with the activation of the two major speech areas that occupy separate but neighboring areas on the side  
282 of the brain. One – Wernicke's area – is specialized for language comprehension, while the other – Broca's area –  
283 deals with speech articulation." Both of these areas are under the control of the thalamus within the diencephalon.  
284 "One of the clearest precursors to language is babbling – the speech-like torrent of sounds that babies typically start  
285 generating at about eighteen months. This is followed within a few months by a rapidly expanding vocabulary of  
286 proper words." Is this the key time to begin serious efforts to develop echolocation skills? Carter goes on to discuss  
287 a seriously deprived teenage child due to near absolute isolation. The child had not learned a native language.  
288 When she finally encountered spoken words, fMRI scanning showed "her brain processed them *in an area normally*  
289 *reserved for environmental noises* (emphasis added)." Carter also notes, citing Kim<sup>6</sup>, that second languages are  
290 typically processed in a different language area of the brain than is the native tongue. While this may not be true for  
291 second languages acquired simultaneously with the first language, it is indicative of the ability of the child to acquire  
292 additional skills, probably including echolocation, without restricting its ability to learn a primary language.

293 Carter also noted (pages 173 & 177-180), the condition known as synaesthesia, wherein a person perceives a taste  
294 sensation while hearing a particular sound, or a musical sensation while viewing a color. These conditions suggest a  
295 certain sharing of sensual inputs within the brain in unusual ways. It is possible that these can contribute to a blind  
296 child imaging a set of auditory reflections much like a dolphin is believed to image high frequency auditory  
297 reflections.

298 If Carter's observations are correct across the population, they suggest a blind child can be most effectively helped to  
299 learn echolocation skills beginning at about two years of age – generally beyond the stage where a simple Lilli  
300 Nielson type box, or little room, would be effective. The child achieves a considerable degree of mobility at this  
301 same age, and their degree of inquisitiveness about their environment also mushrooms. Thus, a more expanded  
302 concept of a specialized enclosure focusing on passive and active source location, and compatible with a two-year  
303 old child might be optimum.

### 304 **R.7.2 Scenario #1 – A crib scenario**

305 For a child with very limited or no sight, the task calls for a distinctly separate "little room" from that designed for a  
306 child with nominal sight. This is not to say the conventional room is not also valuable in such a situation for other  
307 purposes.

308 The goal of the hearing augmentation room should be to focus exclusively on augmenting the child's hearing in the  
309 context of passive object location and active echolocation. This calls for an uncluttered room with objects  
310 specifically designed to associate the objects present with their auditory signature, whether active or passive.

311 [The following sentences assume, the alveolar click is shown to be the most useful click for echolocation in terms of  
312 power output and range discrimination capability.]

313 As soon as a child develops the ability to perform an alveolar click, an effort should be made to use this click, either

---

<sup>5</sup>Carter, R. (1998, paperback 2000) Mapping the Mind. London: A Phoenix paperback

<sup>6</sup>Kim, K. et al. (1997) Distinct cortical areas associated with native and second languages. *Nature* vol 388:6538, pg 171

## 12 Processes in Animal Vision

314 recorded or precisely simulated, as the nominal sound source associated with the hearing augmentation room.

315 One strategy should be to have a toy, in an otherwise empty hearing augmentation room, slowly approaching the  
316 child in a linear fashion while generating the nominal alveolar click.

317 A second strategy should be to have the toy operate as described above, while a separate sound reflecting surface is  
318 located in a fixed position within the hearing augmentation room.

319 A third strategy is to have the separate sound reflecting surface located in a fixed position within the hearing  
320 augmentation room while the child is making alveolar clicks. Later two or multiple reflecting surfaces can be  
321 introduced to familiarize the child with multiple reflections from a single source (whether from an external source,  
322 the above toy, a separate external source located above the head but in a similar geometric position as the mouth, or  
323 eventually its own alveolar clicks.)

### 324 R.7.3 Scenario #2 – A small child’s room

325 If the developmental psychologists concur with the earlier quotations from Carter, it is useful to consider a room  
326 optimized for a visually impaired child of at least 15 months of age. Such a room would be optimized to provide a  
327 wide range of acoustic features that the child would be immersed in through out its day. In concept, the room would  
328 consist of:

- 329 1. A hard floor without covering (except in selected area to introduce other levels of sound reflection).
- 330 2. Hard walls, possibly using a Formica or hard lacquered wood wainscot extending at least three feet from the floor.
- 331 3. A doorway that when open exposes a hall with much less (but not zero) acoustic reflectivity than the wainscot.
- 332 4. Panels a few feet wide or less covering portions of the wainscot with lower reflectivity materials.
- 333 5. Various free standing and movable panels with high acoustic reflectivity (possibly incorporated into the furniture).
- 334 6. A small-waterfall or burbling brook device located so as to generate sound as well as reflections of that sound  
335 from portions of the wall or free-standing panels. The device to be used intermittently, but frequently and  
336 for extended periods after the child becomes acquainted with it. Its loudness and position chosen so that it  
337 does not dominate more than a small portion of the room.
- 338 7. A motorized clacking device, such as the xxx, that produces a continuous intermittent sound in one corner of the  
339 room, and is reflected off of at least one other hard surface. Used intermittently, but frequently for  
340 extended periods after the child becomes acquainted with it. Its loudness and position chosen so that it does  
341 not dominate more than a small portion of the room. Ideally, this clacking device would simulate the  
342 alveolar click that the child can make using its tongue and front palette.
- 343 8. The child’s shoes should have a hard bottom surface, possibly even taps, so that it is continually exposed to the  
344 echos associated with its footsteps immersed in the reflective acoustic environment.
- 345 9. A push or pull toy that makes a cluck type sound when it moves (not the new toys that make realistic barking or  
346 verbalized sounds). A pull-dog called Pluto was available when I was young. There appear to be a number  
347 of pull-ducks available now that provide a simple quack.

348 Such a room can familiarize the child with the world of acoustic signatures that it is learning to live with (in addition  
349 to mere voices and recorded programing generally devoid of reflection components due to the movement of the child  
350 or the source). It can also acquaint the child with the “clacking sound” that he/she will soon learn to generate  
351 vocally and begin to use as an effective echolocation tool as it expands its world beyond its room.

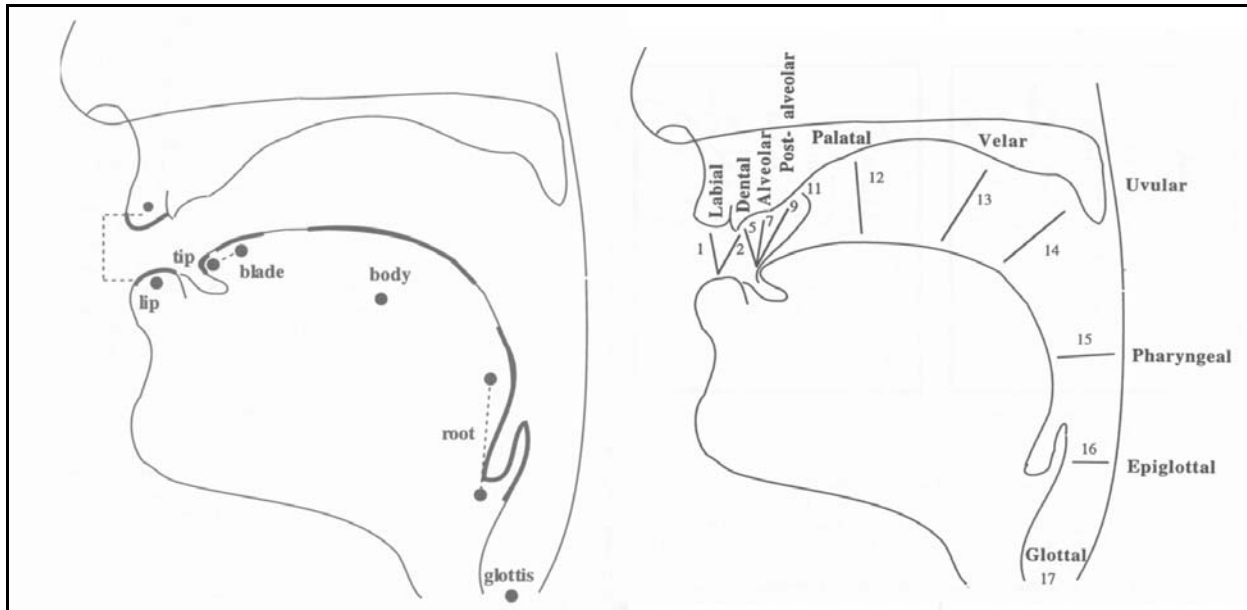
352 The parents might want to consider introducing a clucking sound into their repertoire when entering or leaving the  
353 room so the child becomes familiar with the dynamics of the room related to those sounds.

### 354 R.8 XXX

### 355 R.9 Linguistic characteristics of a click

356 **R.9.1 The anatomy of human clicks**

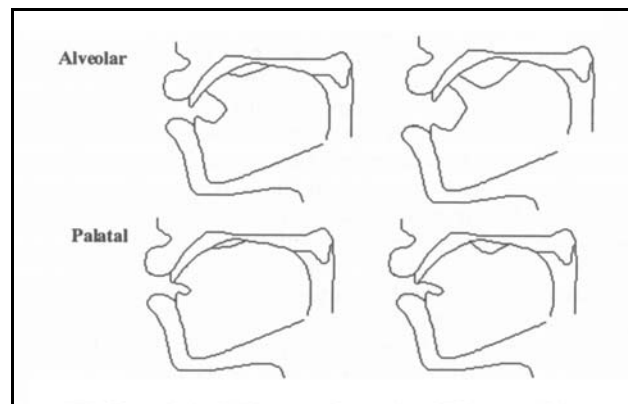
357 Ladefoged & Maddieson have provided **Figure R.9.1-1** showing the names given to the articulators and articulator  
 358 targets in human sound production<sup>7</sup>. The heavy lines on the left show regions of the tongue that normally move  
 359 together even though one part might be considered the leading or dominant part. This feature includes the paired  
 360 lips. The odd numbers refer to tongue movements dominated by the tip of the tongue. Ladefoged & Maddieson  
 361 provide a more detailed figure differentiating between these odd numbered movement and the even numbered  
 362 movements dominated by the blade of the tongue. The lines marked 7, 9 & 11 on the right show the regions of  
 363 tongue motion of most interest in studying clicks. Although the position of moveable structures away from the  
 364 midline can be important in differentiating between sound, the most significant articulatory characteristics are  
 365 associated with the midline.



**Figure R.9.1-1** The five movable structures forming active articulators and their nine targets of the vocal tract. The lips are treated as a pair on the left. From Ladefoged & Maddieson, 1996.

366 **Figure R.9.1-2**, also from Ladefoged & Maddieson,  
 367 shows the formation of the two clicks of most interest  
 368 in echolocation. In both the alveolar and palatal clicks,  
 369 the tongue must close off the airway at two points  
 370 along the midline, in the alveolar or palatal area and in  
 371 the velar or uvular area. The click is formed by  
 372 reducing the pressure on the air trapped between these  
 373 closures and then retracting the tongue to allow air to  
 374 rush into the low pressure cavity. In these diagrams,  
 375 the greatest change in air volume associated with the  
 376 click is seen in the alveolar click. It is presumed that  
 377 this click has the potential to be the loudest.

378 As an aside, it should be noted that the hearing system  
 379 is most sensitive to, and reacts earliest to, a sudden  
 380 lowering of air pressure within the ear canal. Thus a  
 381 click of the type described has the highest potential for  
 382 precision human echolocation.



**Figure R.9.1-2** The pre-release and post release position of the tongue during click formation. From Ladefoged & Maddieson, 1996.

<sup>7</sup>Ladefoged, P. & Maddieson, I. (1996) *The Sounds of the World's Languages*. Oxford, Blackwell

## 14 Processes in Animal Vision

383 **R.9.2 Examples of human clicks**

384 Ladefoged & Maddieson have devoted their Chapter 8 to the characteristics of clicks. There are five places of  
 385 articulation at which click consonants occur. In IPA, a click is symbolized by placing the assigned symbol for the  
 386 place of click articulation to the left of a symbol for a non-click sound at the same place of articulation.

387 The easiest clicks for English speakers are the dental clicks written with a single pipe, |. They are all sharp  
 388 (high-pitched) squeaky sounds made by sucking on the front teeth. A simple dental click is used in English  
 389 to express pity or to shame someone, and sometimes to call an animal, and is written *tsk!*

390 Next most familiar to English speakers are the lateral clicks written with a double pipe, ||. They are also  
 391 squeaky sounds, though less sharp than |, made by sucking on the molars on either side (or both sides) of  
 392 the mouth. A simple lateral click is made in English to get a horse moving, and is conventionally written  
 393 *tchick!*

394 Then there are the bilabial clicks, written with a bull's eye, ⊙. These are lip-smacking sounds, but without  
 395 the pursing of the lips found in a kiss.

396

397 The above clicks sound like affricates, in that they involve a lot of friction. The other two families are more  
 398 abrupt sounds that do not have this friction.

399 With the alveolar clicks, written with an exclamation mark, !, the tip of the tongue is pulled down abruptly  
 400 and forcefully from the roof of the mouth, sometimes using a lot of jaw motion, and making a hollow *pop!*  
 401 like a cork being pulled from an empty bottle. These sounds can be quite loud.

402 Finally, the palatal clicks, ?, are made with a flat tongue, and are softer popping sounds than the ! clicks.

403 The forward alveolar clicks are more useful in echolocation, particularly at close range, because they are propagated  
 404 straight forward and contribute to a useful stereophonic perception in conjunction with the bilateral ears. While the  
 405 lateral clicks may be louder, they tend to be projected away from the side of the face.

406 **Figure R.9.2-1**, also from Ladefoged & Maddieson, shows two clicks based on the above terminology. The palatal  
 407 click shows a briefer duration and a less well defined sinusoidal structure. The alveolar click shows a nearly ideal  
 408 gated sinusoidal form. Both of these clicks involve pressure changes during the click on the order of 10 cm of H<sub>2</sub>O  
 409 (about 1/100th of an atmosphere). They describe the rising characteristic of the click as the crescendo and the falling  
 410 characteristic as the decrescendo. They note the alveolar click has a fundamental frequency near 1200 Hz while the  
 411 palatal click has a fundamental frequency near 3000 Hz (reflecting the fact the air filled cavity is smaller in this  
 412 case).

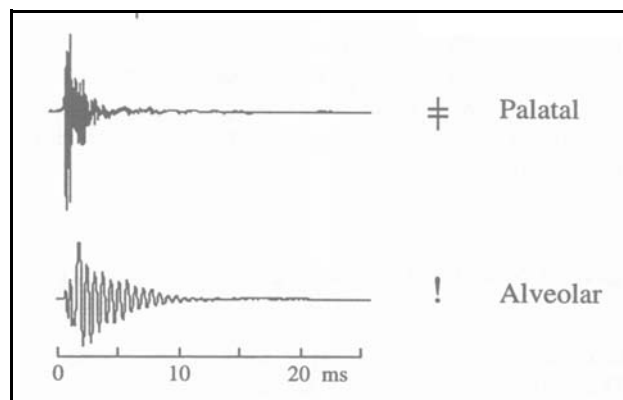
413 **What clicks sound like**

414 *For several sound samples see bilabial click,*  
 415 *dental click, lateral click, palatal click, and*  
 416 *alveolar click in Wikipedia.*

417 **The airstream**

418 The essence of a click is an ingressive airstream  
 419 mechanism. Although not often discussed, the  
 420 forward alveolar click is made with the edge of the  
 421 tongue contacting the palette all around its edge  
 422 inside of the teeth and blocking off the windpipe.  
 423 When the tip of the tongue is withdrawn quickly, a  
 424 volume at low pressure is formed between the  
 425 palette and the tongue. This volume of low  
 426 pressure is projected outward as air from around  
 427 this volume attempts to equalize the pressure.  
 428 The precise frequency and duration of the  
 429 projected sound depends on the precise shape of the lips and cheek tissue.

430 The linguists have defined the forward alveolar click much more precisely. The forward place of articulation is  
 431 alveolar, which means it is articulated with the tip of the tongue against the alveolar ridge. They also speak of  
 432 the front articulation as being coronal. The rear articulation has traditionally been thought to be velar or, again  
 433 more rarely, uvular.



**Figure R.9.2-1** A palatal and an alveolar click showing their individual forms and the standard symbol used to describe them. From Ladefoged & Maddieson, 1996.

## 16 Processes in Animal Vision

434 Since in at least some languages the closure of the rear of the mouth is not velar, some phoneticians have  
435 recently come to prefer the term *lingual* (made with the tongue) as being more accurate for this airstream  
436 mechanism than *velaric* (made with the velum).

437 For the clicks of interest here, there is only a single release burst, that of the forward click release, and the  
438 release of the rear articulation isn't audible.

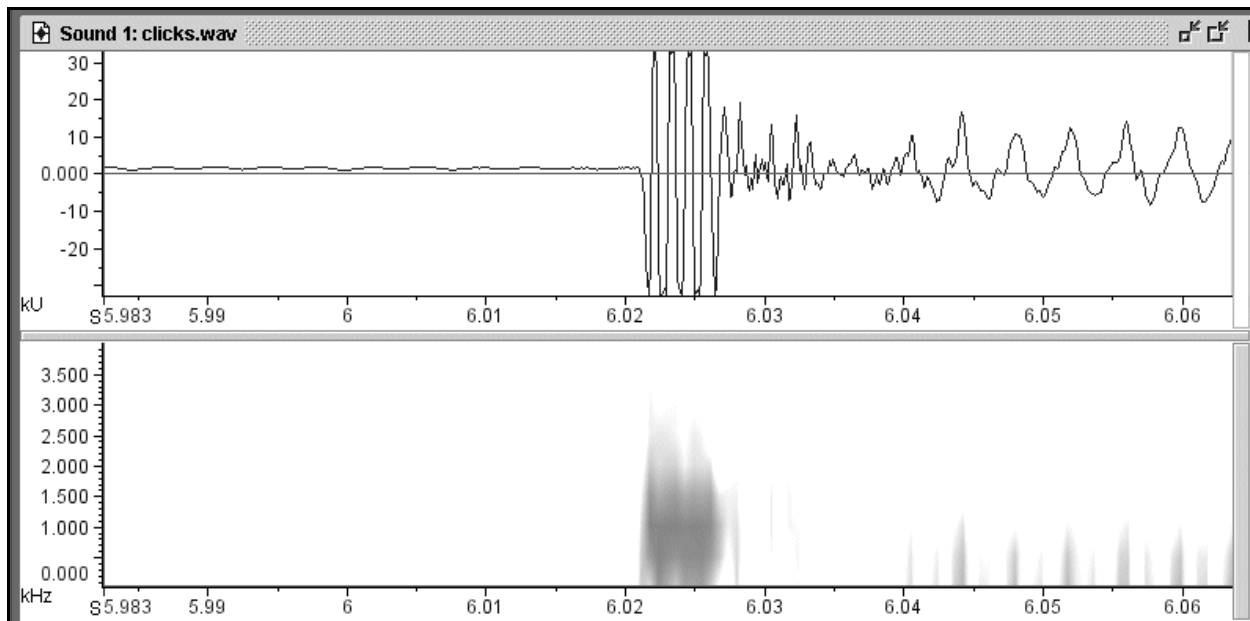
439 Ladefoged has provided an X-ray sequence of the forward alveolar click in action as a QuickTime movie with  
440 sound.

441 <http://hctv.humnet.ucla.edu/departments/linguistics/VowelsandConsonants/vowels/chapter13/movie.html>

442 This page from the University of Stuttgart has a nice sound file of clicks:

443 <http://www.ims.uni-stuttgart.de/phonetik/EGG/page5.htm>

444 The click at 6.02 seconds consists of 4 cycles of a relatively pure fundamental at 666 Hz plus harmonic content.  
445 The leading edge of the pulse is negative going as recorded and lasts for about 300 microseconds. It is not clear  
446 whether the human ear can sense a leading edge extending for only 300 microseconds. Alternately, the Outer  
447 Hair Cells sensitive to 666 kHz may integrate the energy at that frequency over the complete interval of about  
448 six milliseconds. Such a received click could be perceived without interference from the outgoing click at  
449 ranges exceeding 100 cm. If a shorter series of sine waves could be generated, the minimum non-interference  
450 range would be less. These numbers assume compensation for any delays associated with the neural system, as  
451 commonly found in bats and probably found, though less clearly documented, in dolphins. This calculated  
452 minimum range appears compatible with the minimum range used by Ben Underwood in the video. **Figure**  
453 **R.9.2-2** shows this click using Raven Lite 1.0 software from Cornell University. The spectrographic  
454 presentation is quite dependent on the setting of the spectrogram "sharpness" control in this software (which  
455 apparently controls the temporal width of the Fast Fourier Window used to create the spectrogram.

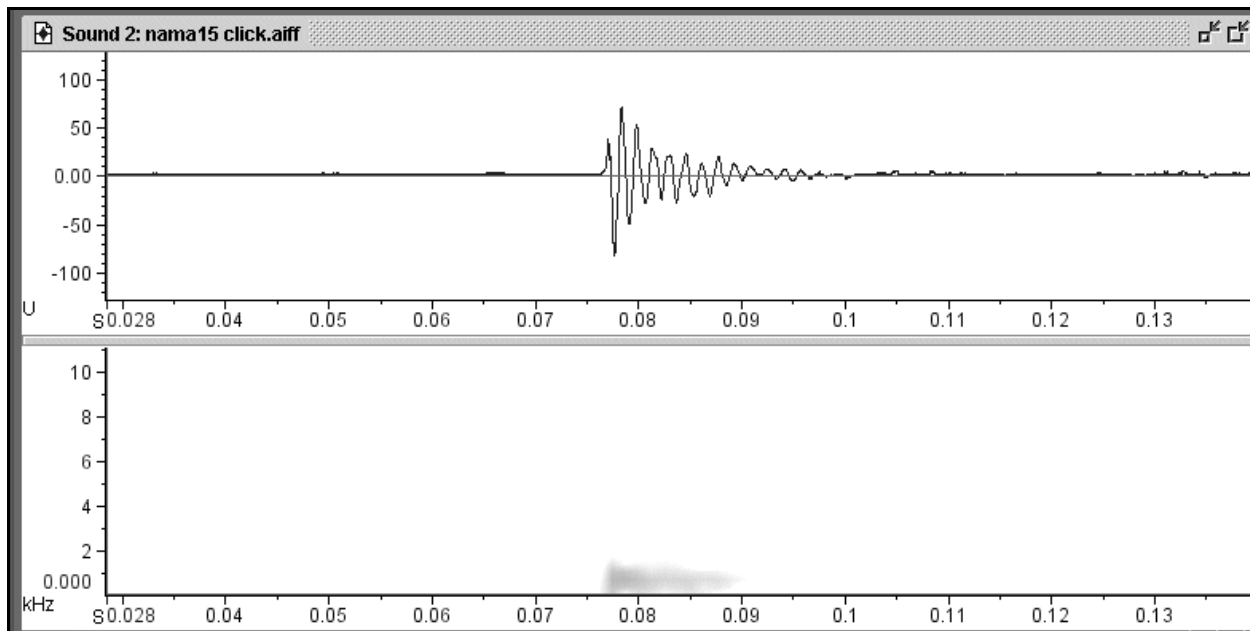


**Figure R.9.2-2** A human click at the front of an African language word. The fundamental is at about 666 Hertz. From University of Stuttgart website.

456 The maximum acoustic range of human echolocation is dependent almost entirely on how intense a signal can  
457 be projected from the mouth. In optimum locations, echos can be perceived over distances of miles. However,  
458 this requires very loud emissions (not compatible with forward alveolar clicks) and very large reflecting surfaces  
459 under quiet conditions. Under more common conditions, it appears Ben Underwood can echo locate, at least in  
460 range, out to at least 10 feet. [xxx confirm this estimate ]



461 A similar set of clicks from Ladefoged is available at  
 462 <http://hctv.humnet.ucla.edu/departments/linguistics/VowelsandConsonants/vowels/chapter13/nama.html>  
 463 Click number 15 on this page, the unvoiced click in the Nama word for belt, has a very pure but decaying  
 464 cycle tone at 666 Hz occurring 0.77 seconds into the recording. **Figure R.9.2-3** shows this waveform as  
 465 displayed using Raven Lite 1.0 software from Cornell University..



**Figure R.9.2-3** The click as the first phoneme of the word “belt” in Nama. The tone is quite pure for a speech tone. It has a fundamental near 666 Hertz. From Ladefoged on UCLA website.

466 There are many other click waveforms available on the Internet but their provenances are poor to non-existent.

#### 467 **R.10 Support, Plasticity of the brain**

468 “Even Old Brains Seem Flexible Enough To Enjoy a Workout” by Sharon Begley.  
 469 Wall Street Journal. (Eastern edition). New York, N.Y.: Dec 2, 2005. pg. B.1

470 IF "TRUTH IN LABELING" laws applied to the three pounds of tofu-soft tissue inside your skull, the brain  
 471 would be in big trouble.

472 Open any lavishly illustrated brain book to the diagram showing which region of the brain handles which tasks.  
 473 There are strips that process touch, areas that handle sounds, even clusters of neurons that do math, get jokes  
 474 and match verbs to nouns. But a growing chorus of researchers is saying, not so fast. These days, the brain's  
 475 zoning map -- with different neighborhoods assigned different functions -- is looking as malleable as putty.  
 476 Evidence of this "plasticity" has been piling up for more than a decade, but now neuroscientists are seeing that it  
 477 is more radical than they thought, and that it lasts well into adulthood. Yes, you can teach an old brain new  
 478 tricks. Take the visual cortex, which turns out to be quite a job hopper. In 1996, scientists using fMRI to peer  
 479 inside the brains of blind people reading Braille found that the visual cortex processes the sense of touch. This  
 480 big hunk of neural space (visual regions take up 35% of the brain, and 35% of a brain is a terrible thing to  
 481 waste) noticed that no signals were arriving from the eyes, and looked around for other employment  
 482 possibilities. With streams of input arriving from the fingertips, the opportunity was obvious.

483 PEOPLE WHO BECAME blind later in life didn't show this "cross modal" plasticity, suggesting that old brains  
 484 can't change jobs. But many of those late-blind people lost their sight slowly, to diabetes, for instance. This may  
 485 be too slow for the visual cortex to notice. When blindness comes on suddenly, the brain is remarkably nimble  
 486 even in adulthood. A few years ago Alvaro Pascual-Leone of Harvard Medical School and colleagues  
 487 blindfolded healthy, sighted adults for a week.

488 Every day, the recruits studied Braille. After mere days, their visual cortex was processing touch. This job

## 18 Processes in Animal Vision

489 switch happened too quickly to reflect new neuronal connections from, say, the fingers. Instead, those  
490 connections must have always been there, Dr. Pascual-Leone suspects, and become "unmasked" only when  
491 needed. That suggests that the visual cortex is misnamed. It doesn't see, necessarily, but makes spatial  
492 discriminations. "It's easier to do this with vision, but if there is no visual input it can rope in the next-best  
493 things, like feeling or hearing," he says. Indeed, in congenitally blind people the visual cortex also localizes  
494 sounds, figuring out where a noise came from. The visual cortex can also become a linguist. Harvard's Amir  
495 Amedi and colleagues recently found that people blind from birth seem to use their visual cortex to, of all  
496 things, generate verbs when an experimenter says a noun. "Apple" elicits "eat," and "piano" brings "play." But if  
497 researchers temporarily knock out the visual cortex with a magnetic pulse, the blind come up with semantic  
498 nonsense, such as "sit" for "apple."

499 The malleability of the brain well into adulthood can be a cause of both concern and optimism. The down side is  
500 that artificial vision, using tiny cameras to capture images and send them to the visual cortex, may be a pipe  
501 dream. Unless it's done soon after birth, which may not be practical, those images will be landing in a visual  
502 cortex that has moved on to other jobs, and the signals will not produce sight. THE UP SIDE is that old brains  
503 are continuing to learn. At last month's annual meeting of the Society for Neuroscience, researchers presented  
504 the results of a study in which elderly volunteers, 61 to 94 years old, underwent eight weeks of computer-based  
505 training to improve the brain's ability to discriminate the sounds of speech. "In the elderly, there is good  
506 evidence that the brain's representation of speech becomes noisier and degraded, which is why some have  
507 trouble understanding muffled speech or the speech of young kids," says Michael Merzenich, University of  
508 California, San Francisco. "If you have trouble processing fast phonemes, the information fed into memory is  
509 crummy." Many dyslexic children have the same speech-processing deficit. Prof. Merzenich and colleagues  
510 have shown that retraining the kids' auditory cortex through specially constructed language input improves  
511 their reading ability.

512 With similar retraining, the older brains, too, processed speech and remembered things better. "The majority  
513 improved 10 or more years in neurocognitive status, so 80-year-olds had the memories of 70-year olds,"  
514 says Prof. Merzenich. "With more training, I expect we could get it to 25 years." He foresees a day when the  
515 discoveries of neuroplasticity will usher in "a new brain- fitness culture," reflecting "an understanding that you  
516 need to exercise your brain as you exercise your body." Crossword puzzles, bridge, reading and other activities  
517 aren't enough, though, especially if they've become routine. One of the most robust findings in neuroplasticity is  
518 that operating on autopilot doesn't help. Only mental tasks that you focus on intently can produce  
519 the physical changes that let old neurons learn new tricks.

## Table of Contents

Appendix R: Human Biosonar Capability .....	1
R.1 Background .....	1
R.1.1 Sources .....	1
R.1.2 Potential number of blind able to use echolocation .....	2
R.1.3 Glossary .....	2
R.2 Overview of echolocation in the Bottlenose Dolphin .....	3
R.2.1 Geometry of dolphin echolocation .....	3
R.2.2 Waveforms of dolphin echolocation .....	3
R.2.3 Resolution capability of the dolphin .....	5
R.2.4 XXX .....	5
R.3 XXX .....	6
R.4 A comparison of the dolphin and human echolocation capabilities .....	6
R.4.1 Reported passive discrimination capabilities of humans .....	8
R.5 Operation of the dolphin echolocation system .....	8
R.6 Operation of the human source location system .....	9
R.6.1 The capabilities of Ben Underwood .....	9
R.6.2 Background on the plasticity of the brain .....	10
R.7 Potential programs to improve blind children's capabilities .....	10
R.7.1 Optimum age for beginning echolocation activities .....	11
R.7.2 Scenario #1 – A crib scenario .....	11
R.7.3 Scenario #2 – A small child's room .....	12
R.8 XXX .....	12
R.9 Linguistic characteristics of a click .....	12
R.9.1 The anatomy of human clicks .....	13
R.9.2 Examples of human clicks .....	15
R.10 Support, Plasticity of the brain .....	17

## 20 Processes in Animal Vision

### List of Figures

<b>Figure R.2.2-1</b> Nearly ideal click waveform from the bottlenose dolphin .....	3
<b>Figure R.2.2-2</b> Click train of bottlenose dolphin .....	5
<b>Figure R.4.1-1</b> A comparison of the human and dolphin echolocation apparatus .....	7
<b>Figure R.9.1-1</b> The five movable structures forming active articulators and their nine targets of the vocal tract .....	13
<b>Figure R.9.1-2</b> The pre-release and post release position of the tongue during click formation .....	13
<b>Figure R.9.2-1</b> A palatal and an alveolar click showing their individual forms .....	15
<b>Figure R.9.2-2</b> A human click at the front of an African language word .....	16
<b>Figure R.9.2-3</b> The click as the first phoneme of the word “belt” in Nama .....	17